

# Elements of higher homotopy groups undetectable by polyhedral approximation

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## Abstract

When non-trivial local structures are present in a topological space  $X$ , a common approach to characterizing the isomorphism type of the  $n$ -th homotopy group  $\pi_n(X, x_0)$  is to consider the image of  $\pi_n(X, x_0)$  in the  $n$ -th Čech homotopy group  $\check{\pi}_n(X, x_0)$  under the canonical homomorphism  $\Psi_n : \pi_n(X, x_0) \rightarrow \check{\pi}_n(X, x_0)$ . The subgroup  $\ker(\Psi_n)$  is the obstruction to this tactic as it consists of precisely those elements of  $\pi_n(X, x_0)$ , which cannot be detected by polyhedral approximations to  $X$ . In this paper, we use higher dimensional analogues of Spanier groups to characterize  $\ker(\Psi_n)$ . In particular, we prove that if  $X$  is paracompact, Hausdorff, and  $UV^{n-1}$ , then  $\ker(\Psi_n)$  is equal to the  $n$ -th Spanier group of  $X$ . We also use the perspective of higher Spanier groups to generalize a theorem of Kozłowski-Segal, which gives conditions ensuring that  $\Psi_n$  is an isomorphism.

## 1 Introduction

When non-trivial local structures are present in a topological space, a common approach to characterizing the isomorphism type of  $\pi_n(X, x_0)$  is to consider the image of  $\pi_n(X, x_0)$  in the  $n$ -th Čech (shape) homotopy group  $\check{\pi}_n(X, x_0)$  under the canonical homomorphism  $\Psi_n : \pi_n(X, x_0) \rightarrow \check{\pi}_n(X, x_0)$ . The  $n$ -th *shape kernel*  $\ker(\Psi_n)$  is the obstruction to this tactic as it consists of precisely those elements of  $\pi_n(X, x_0)$ , which cannot be detected by polyhedral approximations to  $X$ . This method has proved successful in many situations for both the fundamental group [5, 11, 15, 17] and higher homotopy groups [3, 12, 13, 14, 21]. In this paper, we study the map  $\Psi_n$  and give a characterization the  $n$ -th shape kernel in terms of higher-dimensional analogues of Spanier groups.

The subgroups of fundamental groups, which are now commonly referred to as “Spanier groups,” first appeared in E.H. Spanier’s unique approach to covering space theory [30]. If  $\mathcal{U}$  is an open cover of a topological space  $X$  and  $x_0 \in X$ , then the *Spanier group with respect to  $\mathcal{U}$*  is the subgroup  $\pi_1^{Sp}(\mathcal{U}, x_0)$  of  $\pi_1(X, x_0)$  generated by path-conjugates  $[\alpha][\gamma][\alpha]^{-1}$  where  $\alpha$  is a path starting at  $x_0$  and  $\gamma$  is a loop based at  $\alpha(1)$  with image in some element of  $\mathcal{U}$ . These

subgroups are particularly relevant to covering space theory since, when  $X$  is locally path-connected, a subgroup  $H \leq \pi_1(X, x_0)$  corresponds to a covering map  $p : (Y, y_0) \rightarrow (X, x_0)$  if and only if  $\pi_1^{Sp}(\mathcal{U}, x_0) \leq H$  for some open cover  $\mathcal{U}$  [30, 2.5.12]. The intersection  $\pi_1^{Sp}(X, x_0) = \bigcap_{\mathcal{U}} \pi_1^{Sp}(\mathcal{U}, x_0)$  is called the *Spanier group of  $(X, x_0)$*  [16]. The inclusion  $\pi_1^{Sp}(X, x_0) \subseteq \ker(\Psi_1)$  always holds [18, Prop. 4.8]. It is proved in [4, Theorem 6.1] that  $\pi_1^{Sp}(X, x_0) = \ker(\Psi_1)$  whenever  $X$  is paracompact Hausdorff and locally path connected. The upshot of this equality is having a description of level-wise generators (for each open cover  $\mathcal{U}$ ) whereas there may be no readily available generating set for the kernel of a homomorphism induced by a canonical map from  $X$  to the nerve  $|N(\mathcal{U})|$ . Indeed, 1-dimensional Spanier groups have proved useful in persistence theory [32]. Since much of applied topology is based on a geometric refinement of polyhedral approximation from shape theory, there seems potential for higher dimensional analogues to be useful as well.

Higher dimensional analogues of Spanier groups recently appeared in [1] and are defined in a similar way:  $\pi_n^{Sp}(\mathcal{U}, x_0)$  is the subgroup of  $\pi_n(X, x_0)$  consisting of homotopy classes of path-conjugates  $\alpha * f$  where  $\alpha$  is a path starting at  $x_0$  and  $f : S^n \rightarrow X$  is based at  $\alpha(1)$  with image in some element of  $\mathcal{U}$ . Then  $\pi_n^{Sp}(X, x_0)$  is the intersection of these subgroups. In this paper, we prove a higher-dimensional analogue of the 1-dimensional equality  $\pi_1^{Sp}(X, x_0) = \ker(\Psi_1)$  from [4].

A space  $X$  is  $UV^n$  if for every neighborhood  $U$  of a point  $x \in X$ , there is a neighborhood  $V$  of  $x$  in  $U$  such that every map  $f : S^k \rightarrow V$ ,  $0 \leq k \leq n$  is null-homotopic in  $U$ , c.f. [29]. When a space is  $UV^n$  “small” maps on spheres of dimension  $\leq n$  contract by null-homotopies of relatively the same size. Certainly, every locally  $n$ -connected space is  $UV^n$ . However, when  $n \geq 1$ , the converse is not true even for metrizable spaces. Our main result is the following.

**Theorem 1.1.** *Let  $n \geq 1$  and  $x_0 \in X$ . If  $X$  is paracompact, Hausdorff, and  $UV^{n-1}$ , then  $\pi_n^{Sp}(X, x_0) = \ker(\Psi_n)$ .*

This result confirms that higher Spanier groups, like their 1-dimensional counterparts, often identify precisely those elements of  $\pi_n(X, x_0)$  which can be detected by polyhedral approximations to  $X$ . A first countable path-connected space is  $UV^0$  if and only if it is locally path connected. Hence, in dimension  $n = 1$ , Theorem 1.1 only expands [4, Theorem 6.1] to some non-first countable spaces.

Regarding the proof of Theorem 1.1, the inclusion  $\pi_n^{Sp}(X, x_0) \subseteq \ker(\Psi_n)$  was first proved for  $n = 1$  in [18, Prop. 4.8] and for  $n \geq 2$  in [1, Theorem 4.14]. We include this proof for the sake of completion (Lemma 3.11). The proof of the inclusion  $\ker(\Psi_n) \subseteq \pi_n^{Sp}(X, x_0)$  appears in Section 5 and is more intricate, requiring a carefully chosen sequence of open cover refinements using the  $UV^{n-1}$  property. These refinements allow one to recursively extend maps on simplicial complexes skeleton-wise. These extension methods, established in Section 4, are similar to methods found in [22, 23].

We also put these extension methods to work in Section 6 where we identify conditions that imply  $\Psi_n$  is an isomorphism. In [23], Kozłowski-Segal prove that if  $X$  is paracompact Hausdorff and  $UV^n$ , then  $\Psi_n$  is an isomorphism. In [18], Fischer and Zastrow generalize this result in dimension  $n = 1$  by replacing “ $UV^1$ ” with “locally path connected and semilocally simply connected.” Similar, to the approach of Fischer-Zastrow, our use of Spanier groups shows that the existence of *small* null-homotopies of small maps  $S^n \rightarrow X$  (specifically in dimension  $n$ ) is not necessary to prove that  $\Psi_n$  is injective. We say a space  $X$  is *semilocally  $\pi_n$ -trivial* if for every  $x \in X$  there exists an open neighborhood  $U$  of  $x$  such that every map  $S^n \rightarrow U$  is null-homotopic in  $X$ . This definition is independent of lower dimensions but certainly  $UV^n \Rightarrow (UV^{n-1}$  and semilocally  $\pi_n$ -trivial). Our secondary result is the following.

**Theorem 1.2.** *Let  $n \geq 1$  and  $x_0 \in X$ . If  $X$  is paracompact, Hausdorff,  $UV^{n-1}$ , and semilocally  $\pi_n$ -trivial, then  $\Psi_n : \pi_n(X, x_0) \rightarrow \tilde{\pi}_n(X, x_0)$  is an isomorphism.*

The hypotheses in Theorem 1.2 are the homotopical versions of the hypotheses used in [25] to ensure that the canonical homomorphism  $\varphi_* : H_n(X) \rightarrow \check{H}_n(X)$  is an isomorphism, see also [10] regarding the surjectivity of  $\varphi_*$ . Although we have only weakened the hypothesis of the Kozłowski-Segal result in dimension  $n$ , Theorem 1.2 formally generalizes the results of both [18] and [22] and does apply to some spaces of interest, namely spaces involving cones over (or attached to) wild spaces (see Examples 7.1 and 7.3). Examples also show that  $\Psi_n$  can fail to be an isomorphism if  $X$  is semilocally  $\pi_n$ -trivial but not  $UV^{n-1}$  (Example 7.4) or if  $X$  is  $UV^{n-1}$  but not semilocally  $\pi_n$ -trivial (Example 7.5).

## 2 Preliminaries and Notation

Throughout this paper,  $X$  is assumed to be a path-connected topological space with basepoint  $x_0$ . The unit interval is denoted  $I$  and  $S^n$  is the unit  $n$ -sphere with basepoint  $d_0 = (1, 0, \dots, 0)$ . The  $n$ -th homotopy group of  $(X, x_0)$  is denoted  $\pi_n(X, x_0)$ . If  $f : (X, x_0) \rightarrow (Y, y_0)$  is a based map, then  $f_\# : \pi_n(X, x_0) \rightarrow \pi_n(Y, y_0)$  is the induced homomorphism.

A *path* in a space  $X$  is a map  $\alpha : I \rightarrow X$  from the unit interval. The *reverse* of  $\alpha$  is the path given by  $\alpha^-(t) = \alpha(1 - t)$  and the concatenation of two paths  $\alpha, \beta$  with  $\alpha(1) = \beta(0)$  is denoted  $\alpha \cdot \beta$ . Similarly, if  $f, g : S^n \rightarrow X$  are maps based at  $x \in X$ , then  $f \cdot g$  denotes the usual  $n$ -loop concatenation and  $f^-$  denotes the reverse map. We may write  $\prod_{i=1}^m f_i$  to denote an  $m$ -fold concatenation  $f_1 \cdot f_2 \cdot \dots \cdot f_m$ .

### 2.1 Simplicial complexes

We make heavy use of standard notation and theory of abstract and geometric simplicial complexes, which can be found in texts such as [26] and [28]. We briefly recall relevant notation.

If  $K$  is an abstract or geometric simplicial complex and  $r \geq 0$  is an integer,  $K_r$  denotes the  $r$ -skeleton of  $K$ . If  $K$  is abstract,  $|K|$  denotes the geometric realization of  $K$ . If  $K$  is geometric, then  $\text{sd}^m K$  denotes the  $m$ -th barycentric subdivision of  $K$  and if  $v$  is a vertex of  $K$ , then  $\text{st}(v, K)$  denotes the open star of the vertex  $v$ . When  $L \subseteq K$  is a subcomplex,  $\text{sd}^m L$  is a subcomplex of  $\text{sd}^m K$ . If  $\sigma = \{v_0, v_1, \dots, v_r\}$  is an  $r$ -simplex of  $K$ , then  $[v_0, v_1, \dots, v_r]$  denotes the  $r$ -simplex of  $|K|$  with the indicated orientation.

We frequently make use of the standard  $n$ -simplex  $\Delta_n$  in  $\mathbb{R}^n$  spanned by the origin  $d_0$  and standard unit vectors. Since the boundary  $\partial\Delta_n = \Delta_n = (\Delta_n)_{n-1}$  is homeomorphic to  $S^{n-1}$ , we fix a based homeomorphism  $\partial\Delta_n \cong S^{n-1}$  that allows us to represent elements of  $\pi_n(X, x_0)$  by maps  $(\partial\Delta_{n+1}, d_0) \rightarrow (X, x_0)$ .

## 2.2 The Čech expansion and shape homotopy groups

We now recall the construction of the first shape homotopy group  $\tilde{\pi}_1(X, x_0)$  via the Čech expansion. For more details, see [26].

Let  $\mathcal{O}(X)$  be the set of open covers of  $X$  direct by refinement; we write  $\mathcal{U} \leq \mathcal{V}$  when  $\mathcal{V}$  refines  $\mathcal{U}$ . Similarly, let  $\mathcal{O}(X, x_0)$  be the set of open covers with a distinguished element containing the basepoint, i.e. the set of pairs  $(\mathcal{U}, U_0)$  where  $\mathcal{U} \in \mathcal{O}(X)$ ,  $U_0 \in \mathcal{U}$ , and  $x_0 \in U_0$ . We say  $(\mathcal{V}, V_0)$  refines  $(\mathcal{U}, U_0)$  if  $\mathcal{U} \leq \mathcal{V}$  and  $V_0 \subseteq U_0$ .

The nerve of a cover  $(\mathcal{U}, U_0) \in \mathcal{O}(X, x_0)$  is the abstract simplicial complex  $N(\mathcal{U})$  whose vertex set is  $N(\mathcal{U})_0 = \mathcal{U}$  and vertices  $A_0, \dots, A_n \in \mathcal{U}$  span an  $n$ -simplex if  $\bigcap_{i=0}^n A_i \neq \emptyset$ . The vertex  $U_0$  is taken to be the basepoint of the geometric realization  $|N(\mathcal{U})|$ . Whenever  $(\mathcal{V}, V_0)$  refines  $(\mathcal{U}, U_0)$ , we can construct a simplicial map  $p_{\mathcal{U}\mathcal{V}} : N(\mathcal{V}) \rightarrow N(\mathcal{U})$ , called a *projection*, given by sending a vertex  $V \in N(\mathcal{V})$  to a vertex  $U \in \mathcal{U}$  such that  $V \subseteq U$ . In particular,  $V_0$  must be sent to  $U_0$ . Any such assignment of vertices extends linearly to a simplicial map. Moreover, the induced map  $|p_{\mathcal{U}\mathcal{V}}| : |N(\mathcal{V})| \rightarrow |N(\mathcal{U})|$  is unique up to based homotopy. Thus the homomorphism  $p_{\mathcal{U}\mathcal{V}\#} : \pi_1(|N(\mathcal{V})|, V_0) \rightarrow \pi_1(|N(\mathcal{U})|, U_0)$  induced on fundamental groups is (up to coherent isomorphism) independent of the choice of simplicial map.

Recall that an open cover  $\mathcal{U}$  of  $X$  is normal if it admits a partition of unity subordinated to  $\mathcal{U}$ . Let  $\Lambda$  be the subset of  $\mathcal{O}(X, x_0)$  (also directed by refinement) consisting of pairs  $(\mathcal{U}, U_0)$  where  $\mathcal{U}$  is a normal open cover of  $X$  and such that there is a partition of unity  $\{\phi_U\}_{U \in \mathcal{U}}$  subordinated to  $\mathcal{U}$  with  $\phi_{U_0}(x_0) = 1$ . It is well-known that every open cover of a paracompact Hausdorff space  $X$  is normal. Moreover, if  $(\mathcal{U}, U_0) \in \mathcal{O}(X, x_0)$ , it is easy to refine  $(\mathcal{U}, U_0)$  to a cover  $(\mathcal{V}, V_0)$  such that  $V_0$  is the only element of  $\mathcal{V}$  containing  $x_0$  and therefore  $(\mathcal{V}, V_0) \in \Lambda$ . Thus, for paracompact Hausdorff  $X$ ,  $\Lambda$  is cofinal in  $\mathcal{O}(X, x_0)$ .

The  $n$ -th shape homotopy group is the inverse limit

$$\tilde{\pi}_n(X, x_0) = \varprojlim (\pi_n(|N(\mathcal{U})|, U_0), p_{\mathcal{U}\mathcal{V}\#}, \Lambda).$$

This group is also referred to as the  $n$ -th Čech homotopy group.

Given an open cover  $(\mathcal{U}, U_0) \in \mathcal{O}(X, x_0)$ , a map  $p_{\mathcal{U}} : X \rightarrow |N(\mathcal{U})|$  is a (based) canonical map if  $p_{\mathcal{U}}^{-1}(\text{st}(U, N(\mathcal{U}))) \subseteq U$  for each  $U \in \mathcal{U}$  and  $p_{\mathcal{U}}(x_0) = U_0$ . Such a canonical map is guaranteed to exist if  $(\mathcal{U}, U_0) \in \Lambda$ : find a locally finite partition of unity  $\{\phi_U\}_{U \in \mathcal{U}}$  subordinated to  $\mathcal{U}$  such that  $\phi_{U_0}(x_0) = 1$ . When  $U \in \mathcal{U}$  and  $x \in U$ , determine  $p_{\mathcal{U}}(x)$  by requiring its barycentric coordinate belonging to the vertex  $U$  of  $|N(\mathcal{U})|$  to be  $\phi_U(x)$ . According to this construction, the requirement  $\phi_{U_0}(x_0) = 1$  gives  $p_{\mathcal{U}}(x_0) = U_0$ .

A canonical map  $p_{\mathcal{U}}$  is unique up to based homotopy and whenever  $(\mathcal{V}, V_0)$  refines  $(\mathcal{U}, U_0)$ ; the compositions  $p_{\mathcal{U}\mathcal{V}} \circ p_{\mathcal{V}}$  and  $p_{\mathcal{U}}$  are homotopic as based maps. Hence, for  $n \geq 1$ , the homomorphisms  $p_{\mathcal{U}\#} : \pi_n(X, x_0) \rightarrow \pi_n(|N(\mathcal{U})|, U_0)$  satisfy  $p_{\mathcal{U}\mathcal{V}\#} \circ p_{\mathcal{V}\#} = p_{\mathcal{U}\#}$ . These homomorphisms induce the following canonical homomorphism to the limit, which is natural in  $X$ :

$$\Psi_n : \pi_n(X, x_0) \rightarrow \tilde{\pi}_n(X, x_0) \text{ given by } \Psi_n([f]) = ([p_{\mathcal{U}} \circ f])$$

The subgroup  $\ker(\Psi_n)$ , which we refer to as the  $n$ -th shape kernel is, in a sense, a rough algebraic measure of the  $n$ -dimensional homotopical information lost when approximating  $X$  by polyhedra. Specifically,  $[f] \in \pi_n(X, x_0) \setminus \ker(\Psi_n)$  if and only if there exists some polyhedron  $K$  and map  $p : (X, x_0) \rightarrow (K, k_0)$  such that  $p_{\#}([f]) \neq 0$  in  $\pi_n(K, k_0)$ . Of utmost important is the situation when  $\ker(\Psi_n) = 1$ . In this case,  $\pi_n(X, x_0)$  can be understood as a subgroup of  $\tilde{\pi}_n(X, x_0)$ , that is, the  $n$ -th shape group retains all the data in the  $n$ -th homotopy group of  $X$ . A space for which  $\ker(\Psi_n) = 1$  is said to be  $\pi_n$ -shape injective.

### 3 Higher Spanier Groups

To define higher Spanier groups as in [1], we briefly recall the action of the fundamental groupoid on the higher homotopy groups of a space. Fix a retraction  $R : S^n \times I \rightarrow S^n \times \{0\} \cup \{d_0\} \times I$ . Given a map  $f : (S^n, d_0) \rightarrow (X, y)$  and a path  $\alpha : I \rightarrow X$  with  $\alpha(0) = x$  and  $\alpha(1) = y$ , define  $F : S^n \times \{0\} \cup \{d_0\} \times I \rightarrow X$  so that  $g(x, 0) = f(x)$  and  $f(d_0, t) = \alpha(1 - t)$ . The the path-conjugate of  $f$  by  $\alpha$  is the map  $\alpha * f : (S^n, d_0) \rightarrow (X, x)$  given by  $\alpha * f(x) = F \circ R(x, 0)$ .

Path-conjugation defines the basepoint-change isomorphism  $\varphi_{\alpha} : \pi_n(X, y) \rightarrow \pi_n(X, x)$ ,  $\varphi_{\alpha}([f]) = [\alpha * f]$ . In particular,  $[\alpha * f][\alpha * g] = [\alpha * (f \cdot g)]$  and if  $[\alpha] = [\beta]$ , then  $[\alpha * f] = [\beta * f]$ . Note that when  $n = 1$ ,  $f : S^1 \rightarrow X$  is a loop and  $\alpha * f \simeq \alpha \cdot f \cdot \alpha^{-}$ .

**Definition 3.1.** Let  $n \geq 1$  and  $\alpha : (I, 0) \rightarrow (X, x_0)$  be a path and  $U$  be an open neighborhood of  $\alpha(1)$  in  $X$ . Define

$$[\alpha] * \pi_n(U) = \{[\alpha * f] \in \pi_n(X, x_0) \mid f(S^n) \subseteq U\}.$$

Since  $[\alpha * f][\alpha * g] = [\alpha * (f \cdot g)]$ , the set  $[\alpha] * \pi_n(U)$  is a subgroup of  $\pi_n(X, x_0)$ .

**Definition 3.2.** Let  $n \geq 1$ ,  $\mathcal{U}$  be an open cover of  $X$ , and  $x_0 \in X$ . The  $n$ -th Spanier group of  $(X, x_0)$  with respect to  $\mathcal{U}$  is the subgroup  $\pi_n^{Sp}(\mathcal{U}, x_0)$  of  $\pi_n(X, x_0)$  generated by the subgroups  $[\alpha] * \pi_n(U)$  for all pairs  $(\alpha, U)$  with  $\alpha(1) \in U$  and  $U \in \mathcal{U}$ . In short:

$$\pi_n^{Sp}(\mathcal{U}, x_0) = \langle [\alpha] * \pi_n(U) \mid U \in \mathcal{U}, \alpha(1) \in U \rangle$$

The  $n$ -th Spanier group of  $(X, x_0)$  is the intersection

$$\pi_n^{Sp}(X, x_0) = \bigcap_{\mathcal{U} \in \mathcal{O}(X)} \pi_n^{Sp}(\mathcal{U}, x_0).$$

**Remark 3.3.** We note that our definition of  $n$ -th Spanier group is the “unbased” definition from [1]; see also [16] for more on “based” Spanier groups, which is defined using covers of  $X$  by *pointed* open sets. The two notions agree for locally path connected spaces. When  $n = 1$ , Spanier groups (absolute and relative to a cover) are normal subgroups of  $\pi_1(X, x_0)$ . Certainly, the same is true for  $n \geq 2$  since higher homotopy groups are abelian. In the case  $n = 1$ , Spanier groups have been studied heavily due to their relationship to covering space theory [30].

**Remark 3.4** (Functoriality). If  $f : (X, x_0) \rightarrow (Y, y_0)$  is a map and  $\mathcal{V}$  is an open cover of  $Y$ , then  $\mathcal{U} = \{f^{-1}(V) \mid V \in \mathcal{V}\}$  is an open cover of  $X$  such that  $f_{\#}(\pi_n(\mathcal{U}, x_0)) \subseteq \pi_n(\mathcal{V}, y_0)$ . It follows that  $f_{\#}(\pi_n^{Sp}(X, x_0)) \subseteq \pi_n^{Sp}(Y, y_0)$ . Thus  $(f_{\#})|_{\pi_n^{Sp}(X, x_0)} : \pi_n^{Sp}(X, x_0) \rightarrow \pi_n^{Sp}(Y, y_0)$  is well-defined showing that  $\pi_1^{Sp} : \mathbf{Top}_* \rightarrow \mathbf{Grp}$  and  $\pi_n^{Sp} : \mathbf{Top}_* \rightarrow \mathbf{Ab}$ ,  $n \geq 2$ , are functors [1, Theorem 4.2]. Moreover, if  $g : (Y, y_0) \rightarrow (X, x_0)$  is a based homotopy inverse of  $f$ , then  $(f_{\#})|_{\pi_n^{Sp}(X, x_0)}$  and  $(g_{\#})|_{\pi_n^{Sp}(Y, y_0)}$  are inverse isomorphisms. Hence, these functors descend to functors  $\mathbf{hTop}_* \rightarrow \mathbf{Grp}$  and  $\mathbf{hTop}_* \rightarrow \mathbf{Ab}$  on the based homotopy category.

**Remark 3.5** (Basepoint invariance). Suppose  $x_0, x_1 \in X$  and  $\beta : I \rightarrow X$  is a path from  $x_1$  to  $x_0$ , and  $\varphi_{\beta} : \pi_n(X, x_0) \rightarrow \pi_n(X, x_1)$ ,  $\varphi_{\beta}([g]) = [\beta * g]$  is the basepoint-change isomorphism. If  $[\alpha * f]$  is a generator of  $\pi_n^{Sp}(\mathcal{U}, x_0)$ , then  $\varphi_{\beta}([\alpha * f]) = [(\beta \cdot \alpha) * f]$  is a generator of  $\pi_n^{Sp}(\mathcal{U}, x_1)$ . It follows that  $\varphi_{\beta}(\pi_n^{Sp}(\mathcal{U}, x_0)) = \pi_n^{Sp}(\mathcal{U}, x_1)$ . Moreover, in the absolute case, we have  $\varphi_{\beta}(\pi_n^{Sp}(X, x_0)) = \pi_n^{Sp}(X, x_1)$ . In particular, changing the basepoint of  $X$  does not change the isomorphism type of the  $n$ -th Spanier group, particularly whether it is trivial or not.

In terms of our choice of generators, a generic element of  $\pi_n^{Sp}(\mathcal{U}, x_0)$  is a product  $\prod_{i=1}^m [\alpha_i * f_i]$  where each map  $f_i : S^n \rightarrow X$  has an image in some open set  $U_i \in \mathcal{U}$  (see Figure 1). The next lemma identifies how such products might actually appear in practice and motivates the proof of our key technical Lemma below (Lemma 5.1). Recall that  $(\text{sd}^m \Delta_{n+1})_n$  is the union of the boundaries of the  $(n + 1)$ -simplices in the  $m$ -th barycentric subdivision  $\text{sd}^m \Delta_{n+1}$ .

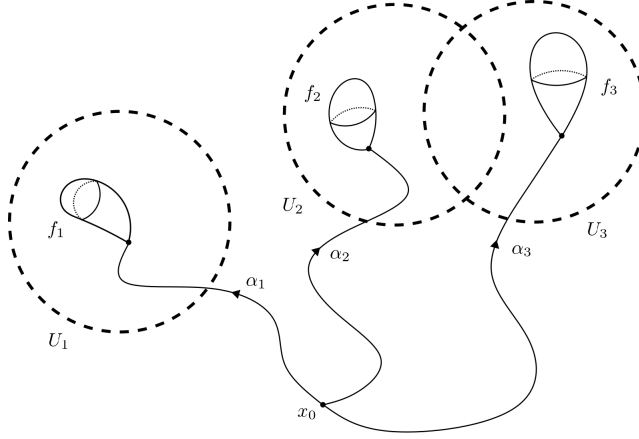


Figure 1: An element of  $\pi_2^{Sp}(\mathcal{U}, x_0)$ , which is a product of three path-conjugate generators  $[\alpha_i * f_i]$ .

**Lemma 3.6.** *If  $m, n \in \mathbb{N}$ ,  $\mathcal{U}$  is an open cover of  $X$ , and  $f : ((sd^m \Delta_{n+1})_n, d_0) \rightarrow (X, x_0)$  is a map such that for every  $(n+1)$ -simplex  $\sigma$  of  $sd^m \Delta_{n+1}$ , we have  $f(\partial\sigma) \subseteq U$  for some  $U \in \mathcal{U}$ , then  $f_{\#}(\pi_n((sd^m \Delta_{n+1})_n, d_0)) \subseteq \pi_n^{Sp}(\mathcal{U}, x_0)$ .*

*Proof.* The case  $n = 1$  is proved in [4]. Suppose  $n \geq 2$  and set  $K = sd^m \Delta_{n+1}$ . The set  $\mathcal{W} = \{f^{-1}(U) \mid U \in \mathcal{U}\}$  is an open cover of  $K_n$  such that  $f_{\#}(\pi_n^{Sp}(\mathcal{W}, d_0)) \subseteq \pi_n^{Sp}(\mathcal{U}, x_0)$  and for every  $(n+1)$ -simplex  $\sigma$  in  $K$ , we have  $\partial\sigma \subseteq f^{-1}(U)$  for some  $U \in \mathcal{U}$ . Thus it suffices to prove  $\pi_n^{Sp}(\mathcal{W}, d_0) = \pi_n(K_n, d_0)$ . Let  $S$  be the set of  $n$ -simplices of  $K$ . Since  $n \geq 2$ ,  $K_n$  is simply connected. Standard simplicial homology arguments give that the reduced singular homology groups of  $K_n$  are trivial in dimension  $< n$  and  $H_n(K_n)$  is finitely generated free abelian generated. A set of free generators for  $H_n(K_n)$  can be chosen by fixing the homology class of a simplicial map  $g_\sigma : \partial\Delta_{n+1} \rightarrow K_n$  that sends  $\partial\Delta_{n+1}$  homeomorphically onto the boundary of an  $(n+1)$ -simplex of  $\sigma \in S$ . Thus  $K_n$  is  $(n-1)$ -connected and the Hurewicz homomorphism  $h : \pi_k(K_n, d_0) \rightarrow H_k(K_n)$  is an isomorphism for all  $1 \leq k \leq n$ . In particular, let  $p_\sigma : I \rightarrow K_n$  be any path from  $d_0$  to  $g_\sigma(d_0)$ . Then  $\pi_n(K_n, d_0)$  is freely generated by the path-conjugates  $[p_\sigma * g_\sigma]$ ,  $\sigma \in S$ . By assumption, for every  $\sigma \in S$ ,  $[p_\sigma * g_\sigma]$  is a generator of  $\pi_n^{Sp}(\mathcal{W}, d_0)$ . Since  $\pi_n^{Sp}(\mathcal{W}, d_0)$  contains all the generators of  $\pi_n(K_n, d_0)$ , the equality  $\pi_n^{Sp}(\mathcal{W}, d_0) = \pi_n(K_n, d_0)$  follows.  $\square$

To characterize the triviality of relative Spanier groups, we establish the following terminology.

**Definition 3.7.** Let  $n \geq 0$ . We say a space  $X$  is

- (1) *semilocally  $\pi_n$ -trivial at  $x \in X$*  if there exists an open neighborhood  $U$  of  $X$  such that every map  $S^n \rightarrow U$  is null-homotopic in  $X$ .
- (2) *semilocally  $n$ -connected at  $x \in X$*  if there exists an open neighborhood  $U$  of  $X$  such that every map  $S^k \rightarrow X$ ,  $0 \leq k \leq n$  is null-homotopic in  $X$ .

We say  $X$  is *semilocally  $\pi_n$ -trivial* (resp. semilocally  $n$ -connected) if it has this property at all of its points.

It is straightforward to see that  $X$  is semilocally  $n$ -connected at  $x \in X$  if and only if  $X$  is semilocally  $\pi_k$ -trivial for all  $0 \leq k \leq n$ .

**Remark 3.8.** Note that a space  $X$  is semilocally  $\pi_n$ -trivial if and only if  $X$  admits an open cover  $\mathcal{U}$  such that  $\pi_n^{Sp}(\mathcal{U}, x_0)$  is trivial [1, Theorem 3.7]. Moreover,  $X$  is semilocally  $n$ -connected if and only if  $X$  admits an open cover  $\mathcal{U}$  such that  $\pi_k^{Sp}(\mathcal{U}, x_0)$  is trivial for all  $1 \leq k \leq n$ .

Attempting a proof of Theorem 1.1, one should not expect the groups  $\pi_n^{Sp}(\mathcal{U}, x_0)$  and  $\ker(p_{\mathcal{U}\#})$  to agree “on the nose.” Indeed, the following example shows that we should not expect the equality  $\pi_n^{Sp}(\mathcal{U}, x_0) = \ker(p_{\mathcal{U}\#})$  to hold even in the “nicest” local circumstances.

**Example 3.9.** Let  $X = S^2 \vee S^2$  and  $W$  be a contractible neighborhood of  $d_0$  in  $S^2$ . Set  $U_1 = S^2 \vee W$  and  $U_2 = W \vee S^2$  and consider the open cover  $\mathcal{U} = \{U_1, U_2\}$  of  $X$ . Then  $\pi_3^{Sp}(\mathcal{U}, x_0) \cong \mathbb{Z}^2$  is freely generated by the homotopy classes of the two inclusions  $i_1, i_2 : S^2 \rightarrow X$ . However,  $\pi_3(X) \cong \mathbb{Z}^3$  is freely generated by  $[i_1]$ ,  $[i_2]$ , and the Whitehead product  $[[i_1, i_2]]$ . However  $|N(\mathcal{U})|$  is a 1-simplex and is therefore contractible. Thus  $\ker(p_{\mathcal{U}\#})$  is equal to  $\pi_3(X)$  and contains  $[[i_1, i_2]]$ . Even though the spaces  $X, U_1, U_2$  are locally contractible and the elements of  $\mathcal{U}$  are 1-connected,  $\pi_n^{Sp}(\mathcal{U}, x_0)$  is a proper subgroup of  $\ker(p_{\mathcal{U}\#})$ . One can view this failure as the result of two facts: (1) The sets  $U_i$  are not 2-connected and (2) the definition of Spanier group does not allow one to generate homotopy classes by taking Whitehead products of maps  $S^2 \rightarrow U_i$  in the neighboring elements of  $\mathcal{U}$ .

First, we show the inclusion  $\pi_n^{Sp}(X, x_0) \subseteq \ker(\Psi_n)$  holds in full generality. Recall the intersections  $\pi_n^{Sp}(X, x_0) = \bigcap_{\mathcal{U} \in O(X)} \pi_n^{Sp}(\mathcal{U}, x_0)$  and  $\ker(\Psi_n) = \bigcap_{(\mathcal{U}, U_0) \in \Lambda} \ker(p_{\mathcal{U}\#})$  are formally indexed by different sets.

**Lemma 3.10.** *For every open cover  $\mathcal{U}$  of  $X$  and canonical map  $p_{\mathcal{U}} : X \rightarrow |N(\mathcal{U})|$ , there exists a refinement  $\mathcal{V} \leq \mathcal{U}$  such that  $\pi_n^{Sp}(\mathcal{V}, x_0) \subseteq \ker(p_{\mathcal{U}\#})$  in  $\pi_n(X, x_0)$ .*

*Proof.* Let  $\mathcal{U} \in O(X)$ . The stars  $\text{st}(U, |N(\mathcal{U})|)$ ,  $U \in \mathcal{U}$  form an open cover of  $|N(\mathcal{U})|$  and therefore  $\mathcal{V} = \{p_{\mathcal{U}}^{-1}(\text{st}(U, |N(\mathcal{U})|)) \mid U \in \mathcal{U}\}$  is an open cover of  $X$ . Since  $p_{\mathcal{U}}$  is a canonical map, we have  $p_{\mathcal{U}}^{-1}(\text{st}(U, |N(\mathcal{U})|)) \subseteq U$  for all  $U \in \mathcal{U}$ . Thus  $\mathcal{V}$  is a refinement of  $\mathcal{U}$ . A generator of  $\pi_n^{Sp}(\mathcal{V}, x_0)$  is of the form  $[\alpha * f]$  for a map  $f : S^n \rightarrow p_{\mathcal{U}}^{-1}(\text{st}(U, |N(\mathcal{U})|))$ . However,  $p_{\mathcal{U}} \circ f$  has image in the contractible open set  $\text{st}(U, |N(\mathcal{U})|)$  and is therefore null-homotopic. Thus  $p_{\mathcal{U}\#}([\alpha * f]) = 0$ . We conclude that  $p_{\mathcal{U}\#}(\pi_n^{Sp}(\mathcal{V}, x_0)) = 0$ .  $\square$

**Corollary 3.11.** [1, Theorem 4.14] *Let  $n \geq 1$ . For any based space  $(X, x_0)$ , we have  $\pi_n^{Sp}(X, x_0) \subseteq \ker(\Psi_n)$ .*



*Proof.* Suppose  $[f] \in \pi_n^{Sp}(X, x_0)$ . Given a normal, based open cover  $(\mathcal{U}, U_0) \in \Lambda$  and any canonical map  $p_{\mathcal{U}} : X \rightarrow |N(\mathcal{U})|$ , Lemma 3.10 ensures we can find a refinement  $\mathcal{U} \leq \mathcal{V}$  such that  $\pi_n^{Sp}(\mathcal{V}, x_0) \subseteq \ker(p_{\mathcal{U}\#})$ . Thus  $[f] \in \pi_n^{Sp}(\mathcal{V}, x_0) \subseteq \ker(p_{\mathcal{U}\#})$ , which shows that  $[f] \in \ker(\Psi_n)$ .  $\square$

**Example 3.12** (higher earring spaces). An important space, which we will call upon repeatedly for examples, is the *n-dimensional earring space*

$$\mathbb{E}_n = \bigcup_{j \in \mathbb{N}} \{ \mathbf{x} \in \mathbb{R}^{n+1} \mid \| \mathbf{x} - (1/j, 0, 0, \dots, 0) \| = 1/j \},$$

which is a shrinking wedge (one-point union) of  $n$ -spheres with basepoint  $b_0 = (0, 0, \dots, 0)$ . It is known that  $\mathbb{E}_n$  is  $(n-1)$ -connected, locally  $(n-1)$ -connected, and  $\pi_n$ -shape injective for all  $n \geq 1$  [27, 12]. However,  $\mathbb{E}_n$  is not semilocally  $\pi_n$ -trivial. Thus  $\pi_n^{Sp}(\mathcal{U}, b_0) \neq 0$  for any open cover  $\mathcal{U}$  of  $\mathbb{E}_n$  even though “in the limit”  $\pi_n^{Sp}(\mathbb{E}_n, b_0)$  is trivial.

**Example 3.13.** Let  $n \geq 3$  and notice that  $\mathbb{E}_1 \vee \mathbb{E}_n$  is not semilocally  $\pi_1$ -connected (since it has  $\mathbb{E}_1$  as a retract) and therefore fails to be semilocally  $(n-1)$ -connected. However, it has recently been shown that  $\pi_k(\mathbb{E}_1 \vee \mathbb{E}_n) = 0$  for  $2 \leq k \leq n-1$  and that  $\mathbb{E}_1 \vee \mathbb{E}_n$  is  $\pi_n$ -shape injective [3]. Thus  $\mathbb{E}_1 \vee \mathbb{E}_n$  is semilocally  $\pi_k$ -trivial for all  $k \leq n-1$  except  $k=1$  and  $\pi_n^{Sp}(\mathbb{E}_1 \vee \mathbb{E}_n, b_0) = 0$ . Thus the failure to be semilocally  $n$ -connected can occur at single dimension less than  $n$ .

## 4 Recursive Extension Lemmas

Toward a proof of the inclusion  $\ker(\Psi_n) \subseteq \pi_n^{Sp}(X, x_0)$ , we introduce some convenient notation and definitions. If  $\mathcal{U}$  is an open cover and  $A \subseteq X$ , then  $\text{St}(A, \mathcal{U}) = \bigcup \{ U \in \mathcal{U} \mid A \cap U \neq \emptyset \}$ . Note that if  $A \subseteq B$ , then  $\text{St}(A, \mathcal{U}) \subseteq \text{St}(B, \mathcal{U})$ . Also if  $\mathcal{U} \leq \mathcal{V}$ , then  $\text{St}(A, \mathcal{V}) \subseteq \text{St}(A, \mathcal{U})$ . We take the following terminology from [33].

**Definition 4.1.** Let  $\mathcal{U}, \mathcal{V} \in O(X)$ .

- (1) We say  $\mathcal{V}$  is a *barycentric-star refinement* of  $\mathcal{U}$  if for every  $x \in X$ , we have  $\text{St}(x, \mathcal{V}) \subseteq U$  for some  $U \in \mathcal{U}$ . We write  $\mathcal{U} \leq_* \mathcal{V}$ .
- (2) We say  $\mathcal{V}$  is a *star refinement* of  $\mathcal{U}$  if for every  $V \in \mathcal{V}$ , we have  $\text{St}(V, \mathcal{V}) \subseteq U$  for some  $U \in \mathcal{U}$ . We write  $\mathcal{U} \leq_{**} \mathcal{V}$ .

Note that if  $\mathcal{U} \leq_* \mathcal{V} \leq_* \mathcal{W}$ , then  $\mathcal{U} \leq_{**} \mathcal{W}$ .

**Lemma 4.2.** [31] *A  $T_1$  space  $X$  is paracompact if and only if for every open cover  $\mathcal{U}$  of  $X$  there exists an open cover  $\mathcal{V}$  such that  $\mathcal{U} \leq_* \mathcal{V}$ .*

**Definition 4.3.** [29] Let  $n \in \{0, 1, 2, 3, \dots, \infty\}$ . A space  $X$  is  $UV^n$  at  $x \in X$  and every neighborhood  $U$  of  $x$ , there exists a neighborhood  $V$  of  $x$  such that  $V \subseteq U$  and such that for all  $0 \leq k \leq n$  ( $k < \infty$  if  $n = \infty$ ), every map  $f : \partial\Delta_{k+1} \rightarrow V$  extends to a map  $g : \Delta_{k+1} \rightarrow U$ . We say  $X$  is  $UV^n$  if  $X$  is  $UV^n$  at all of its points.

We have the following evident implications for both the point-wise and global properties:

$$X \text{ is locally } n\text{-connected} \Rightarrow X \text{ is } UV^n \Rightarrow X \text{ is semilocally } n\text{-connected}$$

For first countable spaces, the  $UV^n$  property is equivalent to the “ $n$ -tame” property in [3] defined in terms of shrinking sequences of maps.

**Remark 4.4.** In much of the Shape Theory literature, the  $UV^n$  property is referred to as the “ $LC^n$  property” [26, p. 40]. This is sometimes confused with local  $n$ -connectedness in which one has a basis of  $n$ -connected open sets. Since the two are not equivalent even for Peano continua, we prefer the “ $UV^n$ ” terminology.

**Definition 4.5.** Suppose  $\mathcal{U} \leq \mathcal{V}$  in  $O(X)$ .

- (1) We say  $\mathcal{V}$  is an  *$n$ -refinement* of  $\mathcal{U}$ , and write  $\mathcal{U} \leq^n \mathcal{V}$ , if for all  $1 \leq k \leq n$ ,  $V \in \mathcal{V}$ , and maps  $f : \partial\Delta_{k+1} \rightarrow V$ , there exists  $U \in \mathcal{U}$  with  $V \subseteq U$  and a continuous extension  $g : \Delta_{k+1} \rightarrow U$  of  $f$ .
- (2) We say  $\mathcal{V}$  is an  *$n$ -barycentric-star refinement* of  $\mathcal{U}$ , and write  $\mathcal{U} \leq_*^n \mathcal{V}$ , if for every  $0 \leq k \leq n$ , for every  $x \in X$ , and every map  $f : \partial\Delta_{k+1} \rightarrow \text{St}(x, \mathcal{V})$ , there exists  $U \in \mathcal{U}$  with  $\text{St}(x, \mathcal{V}) \subseteq U$  and a continuous extension  $g : \Delta_{k+1} \rightarrow U$  of  $f$ .

Note that if  $\mathcal{U} \leq^n \mathcal{V}$  (resp.  $\mathcal{U} \leq_*^n \mathcal{V}$ ), then  $\mathcal{U} \leq^k \mathcal{V}$  (resp.  $\mathcal{U} \leq_*^k \mathcal{V}$ ) for all  $0 \leq k \leq n$ .

**Lemma 4.6.** *Suppose  $X$  is paracompact, Hausdorff, and  $UV^n$ . For every  $\mathcal{U} \in O(X)$ , there exists  $\mathcal{V} \in O(X)$  such that  $\mathcal{U} \leq_*^n \mathcal{V}$ .*

*Proof.* Let  $\mathcal{U} \in O(X)$ . Since  $X$  is  $UV^n$ , for every  $U \in \mathcal{U}$  and  $x \in U$ , there exists an open neighborhood  $W(U, x)$  such that  $W(U, x) \subseteq U$  and such that for all  $1 \leq k \leq n$ , each map  $f : \partial\Delta_{k+1} \rightarrow W(U, x)$  extends to a map  $g : \Delta_{k+1} \rightarrow U$ . Let  $\mathcal{W} = \{W(U, x) \mid U \in \mathcal{U}, x \in U\}$  and note  $\mathcal{U} \leq^n \mathcal{W}$ . Since  $X$  is paracompact Hausdorff, by Lemma 4.2, there exists  $\mathcal{V} \in O(X)$  such that  $\mathcal{W} \leq_* \mathcal{V}$ .

Fix  $x' \in X$ . Then  $\text{St}(x', \mathcal{V}) \subseteq W(U, x)$  for some  $x \in U \in \mathcal{U}$ . Then  $\text{St}(x', \mathcal{V}) \subseteq U$ . Moreover, if  $1 \leq k \leq n$  and  $f : \partial\Delta_{k+1} \rightarrow \text{St}(x', \mathcal{V})$  is a map, then since  $f$  has image in  $W(U, x)$ , there is an extension  $g : \Delta_{k+1} \rightarrow U$ . This verifies that  $\mathcal{U} \leq_*^n \mathcal{V}$ .  $\square$

For the next two lemmas, we fix  $n \in \mathbb{N}$ , a geometric simplicial complex  $K$  consisting of  $(n+1)$ -simplices and their faces, and a subcomplex  $L \subseteq K$  with  $\dim(L) \leq n$ . Let  $M[k] = L \cup K_k$  denote the union of  $L$  and the  $k$ -skeleton of  $K$ . Since  $L \subseteq K_n$ ,  $M[n] = K_n$  is the union of the boundaries of the  $(n+1)$ -simplices of  $K$ . Later we will consider the cases where (1)  $K = \text{sd}^m \Delta_{n+1}$  and  $L = \text{sd}^m \partial\Delta_{n+1}$  and (2)  $K = \text{sd}^m \partial\Delta_{n+2}$  and  $L = \{d_0\}$ .

**Lemma 4.7** (Recursive Extensions). *Suppose  $1 \leq k \leq n$ ,  $\mathcal{U} \leq_* \mathcal{V} \leq_*^{k-1} \mathcal{W}$ ,  $m \in \mathbb{N}$ , and  $f : M[k-1] \rightarrow X$  is a map such that for every  $(n+1)$ -simplex  $\sigma$  of  $K$ , we have  $f(\sigma \cap M[k-1]) \subseteq W_\sigma$  for some  $W_\sigma \in \mathcal{W}$ . Then there exists a continuous extension  $g : M[k] \rightarrow X$  of  $f$  such that for every  $(n+1)$ -simplex  $\sigma$  of  $K$ , we have  $g(\sigma \cap M[k]) \subseteq U_\sigma$  for some  $U_\sigma \in \mathcal{U}$ .*

*Proof.* Supposing the hypothesis, we must extend  $f$  to the  $k$ -simplices of  $M[k]$  that do not lie in  $L$ . Let  $\tau$  be a  $k$ -simplex of  $M[k]$  that does not lie in  $L$  and let  $S_\tau$  be the set of  $(n+1)$ -simplices in  $K$  that contain  $\tau$ . By assumption,  $S_\tau$  is non-empty. We make some general observations first. Since  $f$  maps the  $(k-1)$ -skeleton of each  $(n+1)$ -simplex  $\sigma \in S_\tau$  into  $W_\sigma$  and  $\partial\tau$  lies in this  $(k-1)$ -skeleton, we have  $f(\partial\tau) \subseteq \bigcap_{\sigma \in S_\tau} W_\sigma$ . Thus, for all  $\tau$ , we have

$$f(\partial\tau) \subseteq \bigcap_{\sigma \in S_\tau} \text{St}(W_\sigma, \mathcal{V}).$$

Fix a vertex  $v_\tau$  of  $\tau$  and let  $x_\tau = f(v_\tau)$ . Then  $x_\tau \in W_\sigma \subseteq \text{St}(x_\tau, \mathcal{W})$  whenever  $\sigma \in S_\tau$ . Since  $\mathcal{V} \leq_*^{k-1} \mathcal{W}$ , we may find  $V_\tau \in \mathcal{V}$  such that  $\text{St}(x_\tau, \mathcal{W}) \subseteq V_\tau$  and such that every map  $\partial\Delta_k \rightarrow \text{St}(x_\tau, \mathcal{W})$  extends to a map  $\Delta_k \rightarrow V_\tau$ . In particular,  $f|_{\partial\tau} : \partial\tau \rightarrow W_\sigma$  extends to a map  $\tau \rightarrow V_\tau$ . We define  $g : M[k] \rightarrow X$  so that it agrees with  $f$  on  $M[k-1]$  and so that the restriction of  $g$  to  $\tau$  is a choice of continuous extension  $\tau \rightarrow V_\tau$  of  $f|_{\partial\tau}$ .

We now choose the sets  $U_\sigma$ . Fix an  $(n+1)$ -simplex  $\sigma$  of  $K$ . If the  $k$ -skeleton of  $\sigma$  lies entirely in  $L$ , we choose any  $U_\sigma \in \mathcal{U}$  satisfying  $W_\sigma \subseteq U_\sigma$ . Suppose there exists at least one  $k$ -simplex in  $\sigma$  not in  $L$ . Then whenever  $\tau$  is a  $k$ -simplex of  $\sigma$  not in  $L$ , we have  $W_\sigma \subseteq \text{St}(x_\tau, \mathcal{W}) \subseteq V_\tau$ . Fix a point  $y_\sigma \in W_\sigma$ . The assumption that  $\mathcal{U} \leq_* \mathcal{V}$  implies that there exists  $U_\sigma \in \mathcal{U}$  such that  $\text{St}(y_\sigma, \mathcal{V}) \subseteq U_\sigma$ . In this case, we have  $W_\sigma \subseteq V_\tau \subseteq U_\sigma$  whenever  $\tau$  is a  $k$ -simplex of  $\sigma$  not in  $L$ .

Finally, we check that  $g$  satisfies the desired property. Again, fix an  $(n+1)$ -simplex  $\sigma$  of  $K$ . If  $\tau$  is a  $k$ -simplex of  $\sigma$  not in  $L$ , our definition of  $g$  gives  $g(\tau) \subseteq V_\tau \subseteq U_\sigma$ . If  $\tau'$  is a  $k$ -simplex in  $\sigma \cap L$ , then  $g(\tau') = f(\tau') \subseteq W_\sigma \subseteq U_\sigma$ . Overall, this shows that  $g(\sigma \cap M[k]) \subseteq U_\sigma$  for each  $(n+1)$ -simplex  $\sigma$  of  $K$ .  $\square$

A direct, recursive application of the previous lemma is given in the following statement.

**Lemma 4.8.** *Suppose there is a sequence of open covers*

$$\mathcal{U} = \mathcal{W}_n \leq_* \mathcal{V}_n \leq_*^{n-1} \mathcal{W}_{n-1} \leq_* \cdots \leq_*^2 \mathcal{W}_2 \leq_* \mathcal{V}_2 \leq_*^1 \mathcal{W}_1 \leq_* \mathcal{V}_1 \leq_*^0 \mathcal{W}_0 = \mathcal{W}$$

and a map  $f_0 : M[0] \rightarrow X$  such that for every  $(n+1)$ -simplex  $\sigma$  of  $K$ , we have  $f_0(\sigma \cap M[0]) \subseteq W$  for some  $W \in \mathcal{W}$ . Then there exists an extension  $f_n : M[n] \rightarrow X$  of  $f_0$  such that for every  $(n+1)$ -simplex  $\sigma$  of  $K$ , we have  $f_n(\partial\sigma) \subseteq U$  for some  $U \in \mathcal{U}$ .

## 5 A proof of Theorem 1.1

We apply the extension results of the previous section in the case where  $K = \text{sd}^m \Delta_{n+1}$  for some  $m \in \mathbb{N}$  and  $L = \text{sd}^m \partial\Delta_{n+1}$  so that  $M[k] = L \cup K_k$  consists of the boundary of  $\Delta_{n+1}$  and the  $k$ -simplices of  $\text{sd}^m \Delta_{n+1}$  not in the boundary. Note that  $M[n]$  is the union of the boundaries of the  $(n+1)$ -simplices of  $\text{sd}^m \Delta_{n+1}$ .

**Lemma 5.1.** *Let  $n \geq 1$ . Suppose  $X$  is paracompact, Hausdorff, and  $UV^{n-1}$ . Then for every open cover  $\mathcal{U}$  of  $X$ , there exists  $(\mathcal{V}, V_0) \in \Lambda$  such that  $\ker(p_{\mathcal{V}\#}) \subseteq \pi_n^{Sp}(\mathcal{U}, x_0)$ .*

*Proof.* Suppose  $\mathcal{U} \in O(X)$ . Since  $X$  is paracompact, Hausdorff, and  $UV^{n-1}$ , we may apply Lemmas 4.2 and 4.6 to first find a sequence of refinements :

$$\mathcal{U} = \mathcal{U}_n \leq_* \mathcal{V}_n \leq_*^{n-1} \mathcal{U}_{n-1} \leq_* \cdots \leq_*^2 \mathcal{U}_2 \leq_* \mathcal{V}_2 \leq_*^1 \mathcal{U}_1 \leq_* \mathcal{V}_1 \leq_*^0 \mathcal{U}_0$$

and then one last refinement  $\mathcal{U}_0 \leq_* \mathcal{V}_0 = \mathcal{V}$ . Let  $V_0 \in \mathcal{V}$  be any set containing  $x_0$  and recall that since  $X$  is paracompact Hausdorff  $(\mathcal{V}, V_0) \in \Lambda$ . We will show that  $\ker(p_{\mathcal{V}\#}) \subseteq \pi_n^{Sp}(\mathcal{U}, x_0)$ . Note that  $p_{\mathcal{V}}^{-1}(\text{st}(V, N(\mathcal{V}))) \subseteq V$  for some choice of canonical map  $p_{\mathcal{V}}$ .

Suppose  $[f] \in \ker(p_{\mathcal{V}\#})$  is represented by a map  $f : (|\partial\Delta_{n+1}|, d_0) \rightarrow (X, x_0)$ . We will show that  $[f] \in \pi_n^{Sp}(\mathcal{U}, x_0)$ . Then  $p_{\mathcal{V}} \circ f : |\partial\Delta_{n+1}| \rightarrow |N(\mathcal{V})|$  is null-homotopic and extends to a map  $h : |\Delta_{n+1}| \rightarrow |N(\mathcal{V})|$ . Set  $Y_V = h^{-1}(\text{st}(V, N(\mathcal{V})))$  so that  $\mathcal{Y} = \{Y_V \mid V \in \mathcal{V}\}$  is an open cover of  $|\Delta_{n+1}|$ .

We find a particular simplicial approximation for  $h$  using the cover  $\mathcal{Y}$  [28, Theorem 16.1]: let  $\lambda$  be a Lebesgue number for  $\mathcal{Y}$  so that any subset of  $\Delta_{n+1}$  of diameter less than  $\lambda$  lies in some element of  $\mathcal{Y}$ . Find  $m \in \mathbb{N}$  such that each simplex in  $\text{sd}^m \Delta_{n+1}$  has diameter less than  $\lambda/2$ . Thus the star  $\text{st}(a, \text{sd}^m \Delta_{n+1})$  of each vertex  $a$  in  $\text{sd}^m \Delta_{n+1}$  lies in a set  $Y_{V_a} \in \mathcal{Y}$  for some  $V_a \in \mathcal{V}$ . The assignment  $a \mapsto V_a$  on vertices extends to a simplicial approximation  $h' : \text{sd}^m \Delta_{n+1} \rightarrow N(\mathcal{V})$  of  $h$ , i.e. a simplicial map  $h'$  such that

$$h(\text{st}(a, \text{sd}^m \Delta_{n+1})) \subseteq \text{st}(h'(a), N(\mathcal{V})) = \text{st}(V_a, N(\mathcal{V}))$$

for each vertex  $a$  [28, Lemma 14.1].

Let  $K = \text{sd}^m \Delta_{n+1}$  and  $L = \text{sd}^m \partial\Delta_{n+1}$  so that  $M[k] = L \cup K_k$ . First, we extend  $f : L \rightarrow X$  to a map  $f_0 : M[0] \rightarrow X$ . For each vertex  $a$  in  $K$ , pick a point  $f_0(a) \in V_a$ . In particular, if  $a \in L$ , take  $f_0(a) = f(a)$ . This choice is well defined since on boundary vertices  $a \in L$  since we have  $p_{\mathcal{V}} \circ f(a) = h(a) \in \text{st}(V_a, |N(\mathcal{V})|)$  and thus  $f(a) \in p_{\mathcal{V}}^{-1}(\text{st}(V_a, |N(\mathcal{V})|)) \subseteq V_a$ .

Note that  $h'$  maps every simplex  $\sigma = [a_0, a_1, \dots, a_k]$  of  $K$  to the simplex of  $N(\mathcal{V})$  spanned by  $\{h'(a_i) \mid 0 \leq i \leq k\} = \{V_{a_i} \mid 0 \leq i \leq k\}$ . By definition of the nerve, we have  $\bigcap \{V_{a_i} \mid 0 \leq i \leq k\} \neq \emptyset$ . Pick a point  $x_\sigma \in \bigcap \{V_{a_i} \mid 0 \leq i \leq k\}$ .

By our initial choice of refinements, we have  $\mathcal{U}_0 \leq_* \mathcal{V}$ . If  $\sigma = [a_0, a_1, \dots, a_{n+1}]$  is an  $(n+1)$ -simplex of  $K$ , then  $\text{St}(x_\sigma, \mathcal{V}) \subseteq U_\sigma$  for some  $U_\sigma \in \mathcal{U}$ . In particular  $\{f_0(a_i) \mid 0 \leq i \leq n+1\} \subseteq \bigcup \{V_{a_i} \mid 0 \leq i \leq n+1\} \subseteq U_\sigma$ . Thus  $f_0$  maps the 0-skeleton of  $\sigma$  into  $U_\sigma$ . If  $1 \leq k \leq n$ ,  $\tau$  is a  $k$ -face of  $\sigma \cap L$  with  $a_i \in \tau$ , then  $p_{\mathcal{V}} \circ f_0(\text{int}(\tau)) = p_{\mathcal{V}} \circ f(\text{int}(\tau)) = h(\text{int}(\tau)) \subseteq h(\text{st}(a_i, K)) \subseteq \text{st}(V_{a_i}, |N(\mathcal{V})|)$ . It follows that

$$f_0(\tau) \subseteq p_{\mathcal{V}}^{-1}(\text{st}(V_{a_i}, |N(\mathcal{V})|)) \subseteq V_{a_i} \subseteq U_\sigma.$$

Thus for every  $n$ -simplex in  $\sigma \cap L$ , we have  $f_0(\tau) \subseteq U_\sigma$ . We conclude that for every  $(n+1)$ -simplex  $\sigma$  of  $K$ , we have  $f_0(\sigma \cap M[0]) \subseteq U_\sigma$ .

By our choice of sequence of refinements, we are precisely in the situation to apply Lemma 4.8. Doing so, we obtain an extension  $f_n : M[n] \rightarrow X$  of  $f$

such that for every  $(n + 1)$ -simplex  $\sigma$  of  $K$ , we have  $f_n(\partial\sigma) \subseteq \mathbf{U}_\sigma$  for some  $\mathbf{U}_\sigma \in \mathcal{U}_n = \mathcal{U}$ . By Lemma 3.6, we have  $[f] = [f_n|_{\partial\Delta_{n+1}}] \in \pi_n^{Sp}(\mathcal{U}, x_0)$ .  $\square$

Finally, both inclusions have been established and provide a proof of our main result.

*Proof of Theorem 1.1.* The inclusion  $\pi_n^{Sp}(X, x_0) \subseteq \ker(\Psi_n)$  holds in general by Corollary 3.11. Under the given hypotheses, the inclusion  $\ker(\Psi_n) \subseteq \pi_n^{Sp}(X, x_0)$  follows from Lemma 5.1.  $\square$

When considering examples relevant to Theorem 1.1, it is helpful to compare  $\pi_n$ -shape injectivity with the following weaker property from [19].

**Definition 5.2.** We say a space  $X$  is  *$n$ -homotopically Hausdorff* at  $x \in X$  if no non-trivial element of  $\pi_n(X, x)$  has a representing map in every neighborhood of  $x$ . We say  $X$  is  *$n$ -homotopically Hausdorff* if it is  $n$ -homotopically Hausdorff at all of its points.

Clearly,  $\pi_n$ -shape injectivity  $\Rightarrow$   $n$ -homotopically Hausdorff. The next example, which highlights the effectiveness of Theorem 1.1, shows the converse is not true even for  $UV^{n-1}$  Peano continua.

**Example 5.3.** Fix  $n \geq 2$  and let  $\ell_j : S^n \rightarrow \mathbb{E}_n$  be the inclusion of the  $j$ -th sphere and define  $f : \mathbb{E}_n \rightarrow \mathbb{E}_n$  to be the shift map given by  $f \circ \ell_j = \ell_{j+1}$ . Let  $M_f = \mathbb{E}_n \times [0, 1]/\sim$ ,  $(x, 0) \sim (f(x), 1)$  be the mapping torus of  $f$ . We identify  $\mathbb{E}_n$  with the image of  $\mathbb{E}_n \times \{0\}$  in  $M_f$  and take  $b_0$  to be the basepoint of  $M_f$ . Let  $\alpha : I \rightarrow M_f$  be the loop where  $\alpha(t)$  is the image of  $(b_0, t)$ . Then  $M_f$  is locally contractible at all points other than those in the image of  $\alpha$ . Also, every point  $\alpha(t)$  has a neighborhood that deformation retracts onto a homeomorphic copy of  $\mathbb{E}_n$ . Thus, since  $\mathbb{E}_n$  is  $UV^{n-1}$ , so is  $X$ . It follows from Theorem 1.1 that  $\pi_n^{Sp}(M_f, b_0) = \ker(\pi_n(M_f, b_0) \rightarrow \tilde{\pi}_n(M_f, b_0))$ . In particular, the Spanier group of  $M_f$  contains all elements  $[\alpha^k * g]$  where  $g : S^n \rightarrow \mathbb{E}_n$  is a based map and  $k \in \mathbb{Z}$ . Using the universal covering map  $E \rightarrow M_f$  that “unwinds”  $\alpha$  and the relation  $[g] = [\alpha * (f \circ g)]$  in  $\pi_n(M_f, b_0)$ , it is not hard to show that these are, in fact, the only elements of the  $n$ -th Spanier group. Hence

$$\ker(\pi_n(M_f, b_0) \rightarrow \tilde{\pi}_n(M_f, b_0)) = \{[\alpha^k * g] \mid [g] \in \pi_n(\mathbb{E}_n, b_0)\},$$

which is an uncountable subgroup.

It follows from this description that, even though  $M_f$  is not  $\pi_n$ -shape injective,  $M_f$  is  $n$ -homotopically Hausdorff. Indeed, it suffices to check this at the points  $\alpha(t)$ ,  $t \in I$ . We give the argument for  $\alpha(0) = b_0$ , the other points are similar. If  $0 \neq h \in \pi_n(M_f, b_0)$  has a representative in every neighborhood of  $b_0$  in  $M_f$ , then clearly  $h \in \ker(\Psi_n)$ . Hence,  $h = [\alpha^k * g]$  for  $[g] \in \pi_n(\mathbb{E}_n, b_0)$ . Since  $M_f$  retracts onto the circle parameterized by  $\alpha^k$ , the hypothesis on  $h$  can only hold if  $k = 0$ . However, there is a basis of neighborhoods of  $b_0$  in  $M_f$  that deformation retract onto an open neighborhood of  $b_0$  in  $\mathbb{E}_n$ . Thus  $[g]$  has a representative in every neighborhood of  $b_0$  in  $\pi_n(\mathbb{E}_n, b_0)$ , giving  $h = [g] \in \ker(\pi_n(\mathbb{E}_n, b_0) \rightarrow \tilde{\pi}_n(\mathbb{E}_n, b_0)) = 0$ .

It is an important feature of Example 5.3 that  $M_f$  is not simply connected and has multiple points at which it is not semilocally  $\pi_n$ -trivial. This motivates the following application of Theorem 1.1, which identifies a partial converse of the implication  $\pi_n$ -shape injective  $\Rightarrow$   $n$ -homotopically Hausdorff.

**Corollary 5.4.** *Let  $n \geq 2$  and  $X$  be a simply-connected,  $UV^{n-1}$ , compact Hausdorff space such that  $X$  fails to be semilocally  $n$ -trivial only at a single point  $x \in X$ . Then every element  $g \in \ker(\Psi_n)$  is represented by a map with image in every neighborhood of  $x$ . In particular, if  $X$  is  $n$ -homotopically Hausdorff at  $x$ , then  $X$  is  $\pi_n$ -shape injective.*

*Proof.* According to Remark 3.5, we may take  $x$  to be the basepoint of  $X$ . Let  $0 \neq g \in \ker(\Psi_n)$ . By Theorem 1.1,  $g \in \pi_n^{Sp}(X, x)$ . Since  $X$  is compact Hausdorff, we may replace  $O(X)$  by the cofinal sub-directed order  $O_F(X)$  consisting of finite open covers  $\mathcal{U}$  of  $X$  with the property that there is a unique  $W_{\mathcal{U}} \in \mathcal{U}$  with  $x \in W_{\mathcal{U}}$ . For each  $\mathcal{U} \in O_F(X)$ , we can write  $g = \prod_{i=1}^{m_{\mathcal{U}}} [\alpha_{\mathcal{U},i} * f_{\mathcal{U},i}]$  where  $f_{\mathcal{U},i} : S^n \rightarrow U_{\mathcal{U},i}$  is a non-null-homotopic map for some  $U_{\mathcal{U},i} \in \mathcal{U}$ .

Let  $V$  be an open neighborhood of  $x$ . We check that  $g$  is represented by a map with image in  $V$ . Since  $X$  is  $UV^0$  at  $x$ , there exists an open neighborhood  $V'$  of  $x$  such that any two points of  $V'$  may be connected by a path in  $V$ . Now, we fix  $\mathcal{U}_0 \in O_F(X)$  such that  $W_{\mathcal{U}_0} \subseteq V'$ . Then  $W_{\mathcal{V}} \subseteq V'$  whenever  $\mathcal{V} \in O_F(X)$  refines  $\mathcal{U}_0$ .

We claim that for sufficiently refined  $\mathcal{V}$ , all of the maps  $f_{\mathcal{V},i}$  have image in  $V'$ . Suppose, to obtain a contradiction, there is a subset  $T \subseteq \{\mathcal{V} \in O_F(X) \mid \mathcal{U}_0 \leq \mathcal{V}\}$ , which is cofinal in  $O_F(X)$  and such that for every  $\mathcal{V} \in T$  there exists  $i_{\mathcal{V}} \in \{1, 2, \dots, m_{\mathcal{V}}\}$  such that  $\text{Im}(f_{\mathcal{V},i_{\mathcal{V}}}) \not\subseteq V'$ . Find  $y_{\mathcal{V},i_{\mathcal{V}}} \in S^n$  such that  $f_{\mathcal{V},i_{\mathcal{V}}}(y_{\mathcal{V},i_{\mathcal{V}}}) \in U_{\mathcal{V},i_{\mathcal{V}}} \setminus V' \subseteq U_{\mathcal{V},i_{\mathcal{V}}} \setminus W_{\mathcal{U}_0}$ . Since  $X$  is compact, we may replace  $T$  with a cofinal directed subset so that the net  $\{f_{\mathcal{V},i_{\mathcal{V}}}(y_{\mathcal{V},i_{\mathcal{V}}})\}_{\mathcal{V} \in T}$  converges to a point  $y \in X$ . Let  $Y$  be an open neighborhood of  $y$  in  $X$ . Find  $\mathcal{V}_0 \in O_F(X)$  such that there exists a unique neighborhood  $V_0 \in \mathcal{V}_0$  with  $y \in V_0$  and which also satisfies  $V_0 \subseteq Y$ . Then  $U_{\mathcal{V}_0,i_{\mathcal{V}_0}} = V_0 \subseteq Y$ . Moreover, if  $\mathcal{V} \in T$  refines  $\mathcal{V}_0$ , then  $\text{Im}(f_{\mathcal{V},i_{\mathcal{V}}}) \subseteq U_{\mathcal{V},i_{\mathcal{V}}} \subseteq V_0 \subseteq Y$ . However, for every  $\mathcal{V}$ ,  $f_{\mathcal{V},i_{\mathcal{V}}}$  is not null-homotopic in  $X$ . Thus, since  $Y$  represents an arbitrary neighborhood of  $y$ ,  $X$  is not semilocally  $\pi_n$ -trivial at  $y$ . By assumption, we must have  $x = y$ . Since  $\{f_{\mathcal{V},i_{\mathcal{V}}}(y_{\mathcal{V},i_{\mathcal{V}}})\}_{\mathcal{V} \in T}$  converges to  $x$ , the same argument where  $V'$  replaces  $Y$  shows that  $\text{Im}(f_{\mathcal{V},i_{\mathcal{V}}}) \subseteq V'$  for sufficiently refined  $\mathcal{V} \in T$ ; a contradiction. Since the claim is proved, there exists  $\mathcal{U}_0 \leq \mathcal{U}_1$  in  $O_F(X)$  such that whenever  $\mathcal{U}_1 \leq \mathcal{V}$ , we have  $\text{Im}(f_{\mathcal{V},i}) \subseteq V'$  for all  $i \in \{1, 2, \dots, m_{\mathcal{V}}\}$ .

Fix a refinement  $\mathcal{V}$  of  $\mathcal{U}_1$  in  $O_F(X)$ . For all  $i \in \{1, 2, \dots, m_{\mathcal{V}}\}$ , we may find a path  $\beta_{\mathcal{V},i} : I \rightarrow V$  from  $x$  to  $f_{\mathcal{V},i}(d_0)$ . Since  $g$  is simply connected, we have  $[\alpha_{\mathcal{V},i} * f_{\mathcal{U},i}] = [\beta_{\mathcal{V},i} * f_{\mathcal{U},i}]$  for all  $i$ . Thus  $g$  is represented by  $\prod_{i=1}^{m_{\mathcal{V}}} \beta_{\mathcal{V},i} * f_{\mathcal{V},i}$ , which has image in  $V$ .  $\square$

**Remark 5.5** (Topologies on homotopy groups). Given a group  $G$  and a collection of subgroups  $\{N_j \mid j \in J\}$  of  $G$  such that for all  $j, j' \in J$ , there exists  $k \in J$  such that  $N_k \subseteq N_j \cap N_{j'}$ , we can generate a topology on  $G$  by taking the set

$\{gN_j \mid j \in J, g \in G\}$  of left cosets as a basis. We can apply this to both the collection of Spanier subgroups  $\pi_n^{Sp}(\mathcal{U}, x_0)$  and the collection of kernels  $\ker(p_{\mathcal{U}\#})$  to define two natural topologies on  $\pi_n(X, x_0)$ .

- (1) The *Spanier topology* on  $\pi_n(X, x_0)$  is generated by the left cosets of Spanier groups  $\pi_n(\mathcal{U}, x_0)$  for  $\mathcal{U} \in O(X)$ .
- (2) The *shape topology* on  $\pi_n(X, x_0)$  is generated by left cosets of the kernels  $\ker(p_{\mathcal{U}\#})$  where  $(\mathcal{U}, U_0) \in \Lambda$ . Equivalently, the shape topology is the initial topology with respect to the map  $\Psi_n$  where the groups  $\pi_n(|N(\mathcal{U})|, U_0)$  are given the discrete topology and  $\tilde{\pi}_n(X, x_0)$  is given the inverse limit topology.

Lemma 3.10 ensures the Spanier topology is always finer than the shape topology. Lemma 5.1 then implies that, whenever  $X$  is paracompact, Hausdorff, and  $UV^{n-1}$ , the two topologies agree. Moreover,  $\pi_n(X, x_0)$  is Hausdorff in the shape topology if and only if  $X$  is  $\pi_n$ -shape injective.

## 6 When is $\Psi_n$ an isomorphism?

It is a result of Kozłowski-Segal [23] that if  $X$  is paracompact Hausdorff and  $UV^n$ , then  $\Psi_n : \pi_n(X, x) \rightarrow \tilde{\pi}_n(X, x)$  is an isomorphism. This result was first proved for compact metric spaces in [24]. The assumption that  $X$  is  $UV^n$  assumes that small maps  $S^n \rightarrow X$  may be contracted by small null-homotopies. However, if  $\mathbb{E}_n$  is the  $n$ -dimensional earring space, then the cone  $C\mathbb{E}_n$  is  $UV^{n-1}$  but not  $UV^n$ . However,  $C\mathbb{E}_n$  is contractible and so  $\Psi_n$  is clearly an isomorphism of trivial groups. Certainly, many other examples in this range exist. Our Spanier group-based approach allows us to generalize Kozłowski-Segal's theorem in a way that includes this example by removing the need for "small" homotopies in dimension  $n$ . For simplicity, we will sometimes suppress the pointedness of open covers and simply write  $\mathcal{U}$  for elements of  $\Lambda$ .

**Lemma 6.1.** *Let  $n \geq 1$ . Suppose that  $X$  is paracompact, Hausdorff, and  $UV^{n-1}$ . If  $([f_{\mathcal{U}}])_{\mathcal{U} \in \Lambda} \in \tilde{\pi}_1(X, x_0)$ , then for every  $\mathcal{U} \in \Lambda$ , there exists  $[g] \in \pi_n(X, x)$  such that  $(p_{\mathcal{U}\#})([g]) = [f_{\mathcal{U}}]$ .*

*Proof.* With  $(\mathcal{U}, U_0) \in \Lambda$  and  $p_{\mathcal{U}}$  fixed, consider a representing map  $f_{\mathcal{U}} : (|\partial\Delta_{n+1}|, d_0) \rightarrow (|N(\mathcal{U})|, U_0)$ . Let  $\mathcal{U}' = \{p_{\mathcal{U}}^{-1}(\text{st}(U, |N(\mathcal{U})|)) \mid U \in \mathcal{U}\}$ . Since  $p_{\mathcal{U}}^{-1}(\text{st}(U, |N(\mathcal{U})|)) \subseteq U$  for all  $U \in \mathcal{U}$ , we have  $\mathcal{U} \leq \mathcal{U}'$ . Applying Lemmas 4.2 and 4.6 we can choose the following sequence of refinements of  $\mathcal{U}'$ . First, we choose a star refinement  $\mathcal{U}' \leq_{**} \mathcal{W}$  so that for every  $W \in \mathcal{W}$ , there exists  $U' \in \mathcal{U}'$  such that  $\text{St}(W, \mathcal{W}) \subseteq U'$ . In this case, we can choose the projection map  $p_{\mathcal{W}'\#} : |N(\mathcal{W}')| \rightarrow |N(\mathcal{U}')|$  so that if  $p_{\mathcal{W}'\#}(W) = U'$  on vertices, then  $\text{St}(W, \mathcal{W}') \subseteq U'$  in  $X$ . This choice will be important near the end of the proof.

To construct  $g$ , we must take further refinements. First, we choose a sequence of a refinements

$$\mathcal{W} = \mathcal{W}_n \leq_* \mathcal{V}_n \leq_*^{n-1} \mathcal{W}_{n-1} \leq_* \cdots \leq_*^2 \mathcal{W}_2 \leq_* \mathcal{V}_2 \leq_*^1 \mathcal{W}_1 \leq_* \mathcal{V}_1 \leq_*^0 \mathcal{W}_0$$

followed by one last refinement  $\mathscr{W}_0 \leq_* \mathscr{V}_0 = \mathscr{V}$ . Let  $V_0 \in \mathscr{V}$  be any set containing  $x_0$  and recall that since  $X$  is paracompact Hausdorff  $(\mathscr{V}, V_0) \in \Lambda$ . For some choice of canonical map  $p_{\mathscr{V}}$ , we have  $p_{\mathscr{V}}^{-1}(\text{st}(V, N(\mathscr{V}))) \subseteq V$  for all  $V \in \mathscr{V}$ .

Recall that we have assumed the existence of a map  $f_{\mathscr{V}} : (\partial\Delta_{n+1}, d_0) \rightarrow (|N(\mathscr{V})|, V_0)$  such that  $p_{\mathscr{U}\mathscr{V}\#}([f_{\mathscr{V}}]) = [f_{\mathscr{U}}]$ . Set  $Y_V = f_{\mathscr{V}}^{-1}(\text{st}(V, N(\mathscr{V})))$  so that  $\mathscr{Y} = \{Y_V \mid V \in \mathscr{V}\}$  is an open cover of  $\partial\Delta_{n+1}$ . As before, we find a simplicial approximation for  $f_{\mathscr{V}}$ . Find  $m \in \mathbb{N}$  such that the star  $\text{st}(a, \text{sd}^m \partial\Delta_{n+1})$  of each vertex  $a$  in  $\text{sd}^m \partial\Delta_{n+1}$  lies in a set  $Y_{V_a} \in \mathscr{Y}$  for some  $V_a \in \mathscr{V}$ . Since  $f_{\mathscr{V}}(d_0) = V_0$ , we may take  $V_{d_0} = V_0$ . The assignment  $a \mapsto V_a$  on vertices extends to a simplicial approximation  $f' : \text{sd}^m \partial\Delta_{n+1} \rightarrow |N(\mathscr{V})|$  of  $f_{\mathscr{V}}$ , i.e. a simplicial map  $f'$  such that

$$f_{\mathscr{V}}(\text{st}(a, \text{sd}^m \partial\Delta_{n+1})) \subseteq \text{st}(f'(a), |N(\mathscr{V})|) = \text{st}(V_a, |N(\mathscr{V})|)$$

for each vertex  $a$ .

We begin to define  $g$  with the constant map  $\{d_0\} \rightarrow X$  sending  $d_0$  to  $x_0$ . In preparation for applications of Lemma 4.7, set  $K = \text{sd}^m \partial\Delta_{n+1}$  and  $L = \{d_0\}$  so that  $K[k] = K_k$ . First, we define a map  $g_0 : M[0] \rightarrow X$  by picking, for each vertex  $a \in K_0$ , a point  $g_0(a) \in V_a$ . In particular, set  $g_0(d_0) = x_0$ . This choice is well defined since we have  $p_{\mathscr{V}}(x_0) = V_0 \in \text{st}(V_{d_0}, N(\mathscr{V}))$  and thus  $g_0(d_0) = x_0 \in p_{\mathscr{V}}^{-1}(\text{st}(V_{d_0}, N(\mathscr{V}))) \subseteq V_{d_0}$ . Note that  $f'$  maps every simplex  $\sigma = [a_0, a_1, \dots, a_k]$  of  $K$  to the simplex of  $|N(\mathscr{V})|$  spanned by  $\{V_{a_i} \mid 0 \leq i \leq k\}$ . By definition of the nerve, we have  $\bigcap \{V_{a_i} \mid 0 \leq i \leq k\} \neq \emptyset$ . Pick a point  $x_\sigma \in \bigcap \{V_{a_i} \mid 0 \leq i \leq k\}$ . By our initial choice of refinements, we have  $\mathscr{U}_0 \leq_* \mathscr{V}$ . If  $\sigma = [a_0, a_1, \dots, a_n]$  is a  $n$ -simplex of  $K$ , then  $\text{St}(x_\sigma, \mathscr{V}) \subseteq U_{0,\sigma}$  for some  $U_{0,\sigma} \in \mathscr{U}_0$ . In particular  $\{g_0(a_i) \mid 0 \leq i \leq n+1\} \subseteq \bigcup \{V_{a_i} \mid 0 \leq i \leq n\} \subseteq U_{0,\sigma}$ . Thus  $g_0$  maps the 0-skeleton of  $\sigma$  into  $U_{0,\sigma}$ . If  $d_0 \in \sigma$ , then  $g_0(d_0) \in p_{\mathscr{V}}^{-1}(\text{st}(V_{d_0}, N(\mathscr{V}))) \subseteq V_{d_0} \subseteq U_{0,\sigma}$ . Hence, for every  $n$ -simplex  $\sigma$  of  $K$ , we have  $g_0(\sigma \cap M[0]) \subseteq U_{0,\sigma}$ .

We are now in the situation to recursively apply Lemma 4.7. This is similar to the application in the proof of Lemma 5.1 with the dimension  $n+1$  shifted down by one so we omit the details. We obtain an extension  $g : K = M[n] \rightarrow X$  of  $g_0$  such that for every  $n$ -simplex  $\sigma$  of  $K$ , we have  $g(\sigma) \subseteq W_\sigma$  for some  $W_\sigma \in \mathscr{W} = \mathscr{U}_n$ .

With  $g$  defined, we seek show that  $f_{\mathscr{U}} \simeq p_{\mathscr{U}} \circ g$ . Since  $f' \simeq f_{\mathscr{V}}$  (by simplicial approximation),  $p_{\mathscr{U}\mathscr{V}} \simeq p_{\mathscr{U}\mathscr{U}'} \circ p_{\mathscr{U}'\mathscr{V}} \circ p_{\mathscr{W}\mathscr{V}}$  (for any choice of projection maps), and  $p_{\mathscr{U}\mathscr{V}} \circ f_{\mathscr{V}} \simeq f_{\mathscr{U}}$  (for any choice of projection  $p_{\mathscr{U}\mathscr{V}}$ ), it suffices to show that  $p_{\mathscr{U}\mathscr{U}'} \circ p_{\mathscr{U}'\mathscr{V}} \circ p_{\mathscr{W}\mathscr{V}} \circ f' \simeq p_{\mathscr{U}} \circ g$ . We do this by proving that the simplicial map  $F = p_{\mathscr{U}\mathscr{U}'} \circ p_{\mathscr{U}'\mathscr{V}} \circ p_{\mathscr{W}\mathscr{V}} \circ f' : K \rightarrow |N(\mathscr{U})|$  is a simplicial approximation for  $p_{\mathscr{U}} \circ g$ . Recall that this can be done by verifying the ‘‘star-condition’’  $p_{\mathscr{U}} \circ g(\text{st}(a, K)) \subseteq \text{st}(F(a), |N(\mathscr{U})|)$  for any vertex  $a \in K$  [28, Ch.2 §14]. Since  $n \geq 1$ , we have  $\mathscr{W} \leq_{**} \mathscr{V}$ . Hence, just like our choice of  $p_{\mathscr{U}'\mathscr{V}}$ , we may choose  $p_{\mathscr{W}\mathscr{V}}$  so that whenever  $p_{\mathscr{W}\mathscr{V}}(V) = W$ , then  $\text{St}(V, \mathscr{V}) \subseteq W$ . Also, we choose  $p_{\mathscr{U}\mathscr{U}'}$  to map  $p_{\mathscr{U}'\mathscr{V}}^{-1}(\text{st}(U, |N(\mathscr{U})|)) \mapsto U$  on vertices.

Fix a vertex  $a_0 \in K$ . To check the star-condition, we’ll check that  $p_{\mathscr{U}} \circ g(\sigma) \subseteq \text{st}(F(a_0), |N(\mathscr{U})|)$  for each  $n$ -simplex  $\sigma$  having  $a_0$  as a vertex. Pick an  $n$ -simplex  $\sigma = [a_0, a_1, \dots, a_n] \subseteq K$  having  $a_0$  as a vertex. Recall that  $f'(a_i) = V_{a_i}$  for



each  $i$ . Set  $p_{\mathcal{W}\mathcal{V}}(V_{a_i}) = W_i$  and  $p_{\mathcal{U}'\mathcal{W}}(W_i) = p_{\mathcal{U}}^{-1}(\text{st}(U_i, |N(\mathcal{U})|)) \in \mathcal{U}'$  for some  $U_i \in \mathcal{U}$ . Then  $F(a_i) = U_i$  for all  $i$ . It now suffices to check that  $p_{\mathcal{U}} \circ g(\sigma) \subseteq \text{st}(U_0, |N(\mathcal{U})|)$ . Recall that by our choice of  $p_{\mathcal{U}'\mathcal{W}}$ , we have  $\text{St}(W_0, \mathcal{W}) \subseteq p_{\mathcal{U}}^{-1}(\text{st}(U_0, |N(\mathcal{U})|))$ . Thus it is enough to check that  $g(\sigma) \subseteq \text{St}(W_0, \mathcal{W})$ . By construction of  $g$ , we have  $g(\sigma) \subseteq W_\sigma$  for some  $W_\sigma \in \mathcal{W}$ . Since  $g(a_0) \in W_0 \cap W_\sigma$ , we have  $g(\sigma) \subseteq W_\sigma \subseteq \text{St}(W_0, \mathcal{W})$ , completing the proof.  $\square$

Finally, we prove our secondary result, Theorem 1.2.

*Proof of Theorem 1.2.* Since  $X$  is paracompact, Hausdorff,  $UV^{n-1}$ , we have  $\pi_n^{Sp}(X, x_0) = \ker(\Psi_n)$  by Theorem 1.1. Since  $X$  is semilocally  $\pi_n$ -trivial, we have  $\pi_n^{Sp}(\mathcal{U}, x_0) = 1$  for some  $\mathcal{U} \in \Lambda$ . It follows that  $\Psi_n$  is injective. Moreover, by Lemma 5.1, we may find  $\mathcal{V} \in \Lambda$  with  $\ker(p_{\mathcal{V}\#}) \subseteq \pi_n^{Sp}(\mathcal{U}, x_0)$ . Thus  $p_{\mathcal{V}\#} : \pi_n(X, x_0) \rightarrow \pi_n(|N(\mathcal{V})|, V_0)$  is injective. Let  $([f_{\mathcal{U}}])_{\mathcal{U} \in \Lambda} \in \tilde{\pi}_n(X, x_0)$ . By Lemma 6.1, for each  $\mathcal{U} \in \Lambda$ , there exists  $[g_{\mathcal{U}}] \in \pi_n(X, x_0)$  such that  $p_{\mathcal{U}}([g_{\mathcal{U}}]) = [f_{\mathcal{U}}]$ . If  $\mathcal{V} \leq \mathcal{W}$ , then we have

$$p_{\mathcal{V}\#}([g_{\mathcal{V}}]) = [f_{\mathcal{V}}] = p_{\mathcal{V}\mathcal{W}\#}([f_{\mathcal{W}}]) = p_{\mathcal{V}\mathcal{W}\#} \circ p_{\mathcal{W}\#}([g_{\mathcal{W}}]) = p_{\mathcal{V}\#}([g_{\mathcal{W}}])$$

Since  $p_{\mathcal{V}\#}$  is injective, it follows that  $[g_{\mathcal{W}}] = [g_{\mathcal{V}}]$  whenever  $\mathcal{V} \leq \mathcal{W}$ . Setting  $[g] = [g_{\mathcal{V}}]$  gives  $\Psi_n([g]) = ([f_{\mathcal{U}}])_{\mathcal{U} \in \Lambda}$ . Hence,  $\Psi_n$  is surjective.  $\square$

## 7 Examples

**Example 7.1.** Fix  $n \geq 2$ . When  $X$  is a metrizable  $UV^{n-1}$  space, the cone  $CX$  and unreduced suspension  $SX$  are  $UV^{n-1}$  and semilocally  $\pi_n$ -trivial but need not be  $UV^n$ . This occurs in the case  $X = \mathbb{E}_n$  or if  $X = Y \vee \mathbb{E}_n$  where  $Y$  is a CW-complex. In such cases,  $\Psi_n : \pi_n(SX) \rightarrow \tilde{\pi}_n(SX)$  is an isomorphism. One point unions of such cones and suspensions, e.g.  $CX \vee CY$  or  $CX \vee SY$  also meet the hypotheses of Theorem 1.2 (checking this is fairly technical [3]) but need not be  $UV^n$ .

**Example 7.2.** The converse of Theorem 1.2 does not hold. For  $n \geq 2$ ,  $\mathbb{E}_n$  is  $UV^{n-1}$  but is not semilocally  $\pi_n$ -trivial at the wedgepoint  $x_0$ . However,  $\Psi_n : \pi_n(\mathbb{E}_n, x_0) \rightarrow \tilde{\pi}_n(\mathbb{E}_n, x_0)$  is an isomorphism where both groups are canonically isomorphic to  $\mathbb{Z}^{\mathbb{N}}$  [12]. Additionally, for the infinite direct product  $\prod_{\mathbb{N}} S^n$ ,  $\Psi_k : \pi_k(\prod_{\mathbb{N}} S^n, x_0) \rightarrow \tilde{\pi}_k(\prod_{\mathbb{N}} S^n, x_0)$  is an isomorphism for all  $k \geq 1$  even though  $\prod_{\mathbb{N}} S^n$  is not  $UV^{k-1}$  when  $k-1 \geq n$ .

**Example 7.3.** We can also modify the mapping torus  $M_f$  from Example 5.3 so that  $\Psi_n$  becomes an isomorphism (recall that  $n \geq 2$  is fixed). Let  $X = M_f \cup C\mathbb{E}_n$  be the mapping cone of the inclusion  $\mathbb{E}_n \rightarrow M_f$ . For the same reason  $M_f$  is  $UV^{n-1}$ , the space  $X$  is  $UV^{n-1}$ . Moreover, if  $U$  is a neighborhood of  $\alpha(t)$  that deformation retracts onto a homeomorphic copy of  $\mathbb{E}_n$ , then any map  $S^n \rightarrow U$  may be freely homotoped “around” the torus and into the cone. It follows that  $X$  is semilocally  $\pi_n$ -trivial. We conclude from Theorem 1.2 that  $\Psi_n : \pi_n(X) \rightarrow \tilde{\pi}_n(X)$  is an isomorphism. Since sufficiently fine covers of  $X$

always give nerves homotopy equivalent to  $S^1 \vee S^{n+1}$ , we have  $\tilde{\pi}_n(X, b_0) = 0$ . Thus  $\pi_n(X) = 0$ .

**Example 7.4.** Let  $n \geq 2$  and  $X = \mathbb{E}_1 \vee S^n$  (see Figure 2). Note that because  $\mathbb{E}_1$  is aspherical [6, 8],  $X$  is semilocally  $\pi_n$ -trivial. However,  $X$  is not  $UV^1$  because it has  $\mathbb{E}_1$  as a retract. It is shown in [3] that  $\pi_n(X) \cong \bigoplus_{\pi_1(\mathbb{E}_1)} \pi_n(S^n) \cong \bigoplus_{\pi_1(\mathbb{E}_1)} \mathbb{Z}$  and that  $\Psi_n : \pi_n(X) \rightarrow \tilde{\pi}_n(X)$  is injective. In particular, we may represent elements of  $\pi_n(X)$  as finite-support sums  $\sum_{\beta \in \pi_1(\mathbb{E}_1)} m_\beta$  where  $m_\beta \in \mathbb{Z}$ . We show that  $\Psi_n$  is not surjective.

Identify  $\pi_1(X)$  with  $\pi_1(\mathbb{E}_1)$  and recall from [9] that we can represent the elements of  $\pi_1(\mathbb{E}_1)$  as countably infinite reduced words indexed by a countable linear order (with a countable alphabet  $\beta_1, \beta_2, \beta_3, \dots$ ). Here  $\beta_j$  is represented by a loop  $S^1 \rightarrow \mathbb{E}_1$  going once around the  $j$ -th circle. Let  $X_j$  be the union of  $S^n$  and the largest  $j$  circles of  $\mathbb{E}_1$  so that  $X = \varprojlim_j X_j$ . Identify  $\pi_1(X_j)$  with the free group  $F_j$  on generators  $\beta_1, \beta_2, \dots, \beta_j$  and note that  $\pi_n(X_j) \cong \bigoplus_{F_j} \mathbb{Z}$ . Thus we may view an element of  $\pi_n(X_j)$  as a finite-support sum  $\sum_{w \in F_j} m_w$  of integers indexed over reduced words in  $F_j$ . Let  $d_{j+1,j} : F_{j+1} \rightarrow F_j$  be the homomorphism that deletes the letter  $\beta_{j+1}$ . Consider the inverse limit  $\tilde{\pi}_1(X) = \varprojlim_j (F_j, d_{j+1,j})$ . The map  $X \rightarrow X_j$  that collapses all but the first  $j$ -circles of  $\mathbb{E}_1$  induces a homomorphism  $d_j : \pi_1(X) \rightarrow F_j$ . There is a canonical homomorphism  $\phi : \pi_1(X) \rightarrow \tilde{\pi}_1(X) = \varprojlim_j (F_j, d_{j+1,j})$  given by  $\phi(\beta) = (d_1(\beta), d_2(\beta), \dots)$ , which is known to be injective [27] but not surjective. For example, if  $x_k = \prod_{j=1}^k [\beta_1, \beta_j]$ , then  $(x_1, x_2, x_3, x_4, \dots)$  is an element of  $\tilde{\pi}_1(X)$  not in the image of  $\phi$ .

The bonding map  $b_{j+1,j} : \pi_n(X_{j+1}) \rightarrow \pi_n(X_j)$  sends a sum  $\sum_{w \in F_{j+1}} m_w$  to  $\sum_{v \in F_j} p_v$  where  $p_v = \sum_{d_{j+1,j}(w)=v} m_w$ . Similarly, projection map  $b_j : \pi_n(X) \rightarrow \pi_n(X_j)$  sends the sum  $\sum_{\beta \in \pi_1(X)} n_\beta$  to  $\sum_{v \in F_j} m_v$  where  $m_v = \sum_{d_j(\beta)=v} n_\beta$ . Let  $y_j \in \pi_n(X)$  be the sum whose only non-zero coefficient is the  $x_j$ -coefficient, which is 1. Since  $d_{j+1,j}(x_{j+1}) = x_j$ , it's clear that  $(y_1, y_2, y_3, \dots) \in \tilde{\pi}_n(X)$ . Suppose  $\Psi_n(\sum_\beta m_\beta) = (y_1, y_2, y_3, \dots)$ . Writing  $\sum_\beta m_\beta$  as a finite sum  $\sum_{i=1}^r m_{\beta_i}$  for non-zero  $m_{\beta_i}$ , we must have  $\sum_{d_j(\beta_i)=x_j} m_{\beta_i} = 1$  for all  $j \in \mathbb{N}$ . Since there are only finitely many  $\beta_i$  involved, there must exist at least one  $i$  for which  $d_j(\beta_i) = x_j$  for infinitely many  $j$ . For such  $i$ , we have  $\phi(\beta_i) = (x_1, x_2, x_3, \dots)$ , which, as mentioned above, is impossible. Hence  $\Psi_n$  is not surjective.

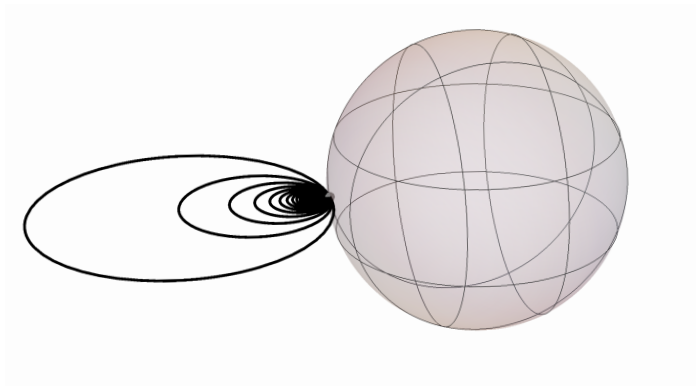


Figure 2: The one point union  $\mathbb{E}_1 \vee S^2$ .

The previous example shows why we cannot do away with the  $UV^{n-1}$  hypothesis in Theorem 1.2. Since we weakened the hypothesis from [23] in dimension  $n$  and no hypothesis in dimension  $n$  is required for Theorem 1.1, one might suspect that we might be able to do away with the dimension  $n$  hypothesis completely. The next example, which is a higher analogue of the harmonic archipelago [2, 7, 20] shows why this is not possible.

**Example 7.5.** Let  $n \geq 2$  and  $\ell_j : S^n \rightarrow \mathbb{E}_n$  be the inclusion of the  $j$ -th  $n$ -sphere in  $\mathbb{E}_n$ . Let  $X$  be the space obtained by attaching  $(n+1)$ -cells to  $\mathbb{E}_n$  using the attaching maps  $\ell_j$ . Since  $\mathbb{E}_n$  is  $UV^{n-1}$  it follows easily that  $X$  is  $UV^{n-1}$ . However,  $X$  is not semilocally  $\pi_n$ -trivial at the wedgepoint  $x_0$  of  $\mathbb{E}^n$ . Indeed, the infinite concatenation maps  $\prod_{j \geq k} \ell_j = \ell_k \cdot \ell_{k+1} \cdots$  are not null-homotopic (using a standard argument that works for the harmonic archipelago) but are all homotopic to each other. Thus  $\pi_n(X, x_0) \neq 0$ . However for sufficiently fine open covers  $\mathcal{U} \in \mathcal{O}(X)$ ,  $|N(\mathcal{U})|$  is homotopy equivalent to a wedge of  $(n+1)$ -spheres and is therefore  $n$ -connected. Thus  $\tilde{\pi}_n(X, b_0) = 0$ . Thus, despite  $X$  being  $UV^{n-1}$ ,  $\Psi_n$  is not an isomorphism. In fact,  $\pi_n(X, x_0) = \pi_n^{Sp}(X, x_0) = \ker(\Psi_n)$ . The reader might also note that since  $\mathbb{E}^{n-1}$  is  $(n-1)$ -connected and  $\pi_n(\mathbb{E}_n) \cong H_n(\mathbb{E}_n) \cong \mathbb{Z}^{\mathbb{N}}$ ,  $X$  will also be  $(n-1)$ -connected. A Meyer-Vietoris Sequence argument similar to that in [20] can then be used to show  $\pi_n(X, x_0) \cong H_n(X) \cong \mathbb{Z}^{\mathbb{N}} / \bigoplus_{\mathbb{N}} \mathbb{Z}$ .

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